



PATENT  
Our Docket: P-HR 5214

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
Smith and Cruikshank ) Examiner: Not Yet Known  
Serial No.: 10/038,509 ) Group Art Unit: 1644  
Filed: January 3, 2002 ) CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
For: DIAGNOSIS AND THERAPY OF ) "EXPRESS MAIL" MAILING LABEL NUMBER: EV 065744918 US  
ANTIBODY-MEDIATED INFLAMMATORY ) DATE OF DEPOSIT: September 3, 2002  
AUTO-IMMUNE DISORDERS ) I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
COMMISSIONER FOR PATENTS ) DEPOSITED WITH THE UNITED STATES POSTAL SERVICE  
WASHINGTON, D.C. 20231 ) "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER  
Attention: BOX MISSING PARTS ) 37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE, AND IS  
ADDRESSED TO: COMMISSIONER FOR PATENTS WASHINGTON,  
D.C. 20231.  
*Erik Mumbert*  
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)  
*Erik Mumbert*  
(SIGNATURE OF PERSON MAILING PAPER OR FEE)

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed February 4, 2002, enclosed are:

- X 1. A copy of the Notice to File Missing Parts;  
X 2. An executed Declaration for Patent Application;  
X 3. Two Recordation Form Cover Sheets and two executed Assignments;  
X 4. Two executed Small Entity Statements;  
X 5. Two executed Powers of Attorney for Patent Application by Assignee;  
X 6. Two executed Statements Under CFR 3.73(b) with copy of executed Assignment.

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	8-20	=	0	x	\$9	\$18	= \$0	\$
INDEPEN- DENT CLAIMS	1-3	=	0	x	\$42	\$84	= \$0	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED: ____ YES ____ NO					\$140	\$280	= \$0	\$
					BASIC FEE		\$370	\$740
					TOTAL FEE		\$370	

- X 7. Sixteen sheets of substitute drawings.  
X 8. Petition for five-month extension of time (in duplicate).

10038509-090302

Inventors: Smith and Cruikshank  
Serial No.: 10/038,509  
Filed: January 3, 2002  
Page 2

- X 9. A check in the amount of \$1495.00 to cover the filing fee of \$370.00, the surcharge fee of \$65.00, \$980.00 which covers the five-month extension of time and \$80.000 for recording two Assignments for the application is enclosed.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.
- X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: September 3, 2002

By: Astrid R. Spain  
Astrid R. Spain  
Reg. No. 47,956

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive  
7<sup>th</sup> Floor  
San Diego, California 92122  
Telephone: (858) 535-9001  
Facsimile: (858) 535-8949  
USPTO CUSTOMER NO. 23601

20020903 090300T



9/6/02

#3 mpp

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Our Docket: P-HR 5214

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Smith and Cruikshank

Examiner: Not Yet Known

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202060 60583001

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Telephone: (858) 535-9001  
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10038509.0002002

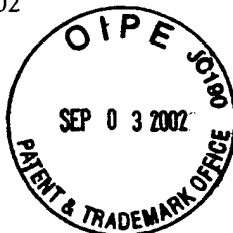


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,509	01/03/2002	Terry Smith	270/149

22249  
LYON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071



CONFIRMATION NO. 5468

## FORMALITIES LETTER



\*OC000000007415020\*

Date Mailed: 02/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/03/2002 MBERHE 00000061 10038509

01 FC:201  
02 FC:205370.00 GP  
65.00 GP

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

300899-090902



Document: A copy of the Notice to  
File Missing Parts  
Attorney Docket No: P-HR 5214

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*Erik Mumbert*

\_\_\_\_\_  
Printed Name of Person Mailing Paper or Fee

*Erik Mumbert*

\_\_\_\_\_  
Signature of Person Mailing Paper or Fee



Document: Sixteen Sheets of  
Substitute Drawings  
Attorney Docket No: P-HR 5214

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Erik Mumbert

Printed Name of Person Mailing Paper or Fee

Erik Mumbert

Signature of Person Mailing Paper or Fee





Document: Recordation Form Cover  
Sheet and an executed Assignment  
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Erik Mumbert

Printed Name of Person Mailing Paper or Fee

Eh Mumbert

Signature of Person Mailing Paper or Fee



Document: An executed Small Entity  
Statement  
Attorney Docket No: P-HR 5214

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